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**wongcabello**

Wong, Cabello, Lutsch, Rutherford & Brucculeri, LLP

20333 SH 249, Suite 600
Houston, Texas 77070
Direct: 832-446-2406
Fax: 832-446-2452
ckairs@counselip.com

FACSIMILE TRANSMISSION COVER SHEET

Date: Monday, December 05, 2005

To USPTO - Examiner: James M. Hewitt

Art Unit: 3679

Fax: 571/273-8300

From: Christopher D. Keirs

Customer No: 29855

Atty. Docket #: 221-0075US

Serial No.: 10/689,338

Re: *Please see the attached*

Pages (including cover page): 15

Received in the United States Patent and Trademark Office

1. Fee Transmittal (PTO/SB/17);
2. Supplemental Information Disclosure Statement (2 pages);
3. PTO Form 1449 (1 page); and
4. Copies of Cited References (A1 & C1).

If there is a problem with transmission, please call (832) 446-2400

CONFIDENTIALITY NOTICE

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Recycled US patent pg. 6-11 (dt)

Doc Code:

PTO/SS/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0851-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p>Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618).</p> <h2 style="text-align: center;">FEE TRANSMITTAL for FY 2005</h2>		<p>Complete if Known</p>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/689,338
		Filing Date	October 20, 2003
		First Named Inventor	Robert E. Smith III
		Examiner Name	James M. Hewitt
		Art Unit	3679
<p>TOTAL AMOUNT OF PAYMENT (\$)</p>		<p>\$180.00</p>	
<p>Attorney Docket No.</p>		<p>221-0075US</p>	

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Deposit Account Number: 501922 Deposit Account Name: Wong Cabello

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
- 20 or HP =	x	\$25.00 = \$0.00
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP =	x	\$100.00 = \$0.00
HP = highest number of independent claims paid for, if greater than 3.		

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims - 3 or HP = x \$100.00 = \$0.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

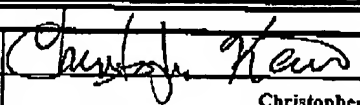
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
- 100 =	0	/ 50 0 (round up to a whole)	x \$125.00 =	\$0.00

4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): The fee required by 37 CFR 1.97(d)(2)

\$180.00

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	32,248
Name (Print/Type)	Christopher D. Kears	Telephone	832/446-2400
		Date	12/05/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DEC 05 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	Customer No.:	29855
Robert E. Smith III	§		
	§	Group Art Unit:	3679
Serial No.: 10/689,338	§		
	§		
Filed: October 20, 2003	§	Examiner:	James M. Hewitt
	§	phone:	571/272-7084
For: SEAL RETAINER WITH METAL	§		
SEAL MEMBERS FOR UNDERSEA	§	Dkt. No.:	221-0075US
HYDRAULIC COUPLING	§		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450.

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

This Supplemental Information Disclosure Statement is being filed after the issuance of a Notice of Allowance per the provisions of 37 C.F.R. § 1.97(d). The fee required by 37 C.F.R. § 1.97(d)(2) is being paid by a Request to Charge Deposit Account (PTO/SB/17), enclosed herewith.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information constitutes prior art.

In accordance with 37 C.F.R. § 1.97(e), each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a

12/07/2005 TL0111 00000031 501922 10689338
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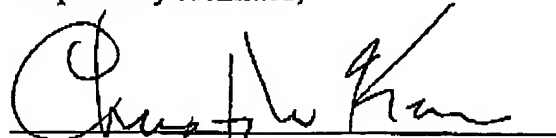
foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Applicants respectfully request that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the Form 1449 to evidence the same.

Respectfully submitted,

5 DEC 05

Date



Christopher D. Keirs

Reg. No. 32,248

Attorney for Applicant

Customer No. 29855
WONG, CABELLO, LUTSCH,
RUTHERFORD & BRUCCULERI, LLP
20333 State Highway 249
Suite 600
Houston, Texas 77070

832/446-2400

832/446-2424 (facsimile)

CERTIFICATE OF FACSIMILE TRANSMISSION
37 C.F.R. § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at 571/273-8300 on the date below.

12-5-2005

Date


Rebecca R. Ginn

Page 1 of 1

Form PTO-1449 (modified) List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty. Docket No.	Serial No.
	221-0075US	10/689,338
	Inventor/Applicant	
	Robert E. Smith III	
Title: Seal Retainer with Metal Seal Members for Under-Sea Hydraulic coupling		
Filing Date:		Group:
October 20, 2003		3679
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 1	Other Art See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	4,903,993	02/27/1990	Brammer	285	24	12/28/1988
	A2						
	A3						
	A4						
	A5						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Search Report received in corresponding British Patent Application No. 0519299.2 dated October 17, 2005.
	C2	
	C3	
	C4	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

H: 531561(DKSL01) (DOC)